

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

TOMIZAWA et al.

Serial No. 09/986,647

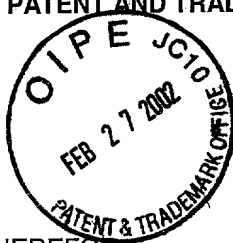
Filed: November 9, 2001

For: GAME MACHINE AND PROGRAM THEREFOR

Attention: Application Branch

Atty. Dkt. 723-1216

Date: February 27, 2002



*Sector 16*  
*Receipt*  
*#3*

Assistant Commissioner for Patents  
Washington, D.C. 20231

The attached completes filing of the above-identified patent application:

- ☒ Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone  
☒ 43 pages of English translation of originally filed specification and 9 drawings.  
☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.  
☒ Record the attached assignment and return to the undersigned.  
☐ Attached is a Power of Attorney.  
☒ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:

**Application Number**

2001-85540

**Country**

Japan

**Day/Month/Year Filed**

23/03/2001

respectively.

- ☒ Certified copy(ies) of foreign application(s) is/are attached.  
☐ Certified copy(ies) filed on \_\_\_\_\_ in prior application no. \_\_\_\_\_, filed \_\_\_\_\_.  
☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.  
☐ Please enter the attached and/or below preliminary amendment prior to calculation of filing fee.  
☒ Also attached: ☒ **Information Disclosure Statement**; ☐ **Nucleotide and/or Amino Acid Sequence Submission**; ☐ **Other**:

**Fees due are calculated below:**

Basic filing fee				\$	740.00
Total Effective claims	26	- 20 =	6	x \$	18.00
Independent claims	3	- 3 =	0	x \$	84.00
If any proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)					\$ 0.00
<b>FILING FEE</b>					\$ 848.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920.00/3 months; \$1440.00/4 months)				\$	0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted				\$	130.00
English translation of specification and claims (\$130.00)				\$	130.00
<b>FIRST SUBTOTAL</b>					\$ 1,108.00
If "small entity," enter half (1/2) of subtotal and subtract					-\$ 0.00
<b>SECOND SUBTOTAL</b>					\$ 1,108.00
Assignment Recording Fee (\$40.00)					\$ 40.00
<b>TOTAL FEE DUE</b>					\$ 1,148.00
Check enclosed (Pre-Bill)*					\$ 910.00
Check enclosed (non Pre-Bill)*					\$ 238.00
<b>TOTAL FEE ENCLOSED</b>					\$ 1148.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8<sup>th</sup> Floor  
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**NIXON & VANDERHYE P.C.**

By Atty: Mark E. Nusbaum, Reg. No. 32,348

Signature: Mark E. Nusbaum



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/986,647	11/09/2001	Toshikazu Tomizawa	723-1216

CONFIRMATION NO. 5601

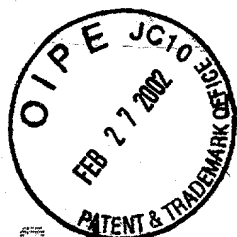
## FORMALITIES LETTER



\*OC000000007324830\*

NIXON & VANDERHYE P.C.  
1100 North Glebe Road, 8th Floor  
Arlington, VA 22201

Date Mailed: 01/17/2002



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 1000.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

02/04/2002 09:00:00 00000005 09986647

01 FCI:101	740.00 CP
02 FCI:102	102.00 CP
03 FCI:105	130.00 CP
04 FCI:135	130.00 CP

*A copy of this notice MUST be returned with the reply.*

France

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

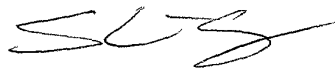
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# CERTIFICATE OF VERIFICATION

I, Shiro Ogasawara of c/o Ogasawara Patent Office, Daisan-Longev' Bldg., 5th Floor,  
3-11, Enoki-cho, Suita-shi, Osaka 564-0053 Japan, state that the attached document is a  
true and complete translation to the best of my knowledge of US Patent Application filed  
in Japanese on November 9, 2001 based on Japanese Patent Application No.2001-85540.

Dated this 14th day of December, 2001



Signature of Translator: \_\_\_\_\_

Shiro OGASAWARA

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